

Financial institutions are faced with challenging times due to increasing trade volumes, shortening of settlement times, the need for Straight Through Processing (STP) and very stringent regulatory requirements.

A trading and client portfolio management system is the backbone of every brokerage operation. Accurate accounting, tracking and execution of mandates, effective portfolio management and responsive client service all depend upon this technology. So it's a critically important choice—and a critical factor in the successful operation of any brokerage organization.

As clients demand more transparency and better service, brokerage firms must meet the challenge with flexibility and efficiency while also managing cost and risk.

Zanibal's eBroker helps you manage relationships, process clients requests such as certificates, public offer subscriptions, trades, margin loans etc, while providing tools with which to seamlessly track a client's portfolio. With fully integrated accounting, order execution and settlement processing, client relationship management and marketing, our clients rely on eBroker to:

- ✚ Ensure that there is a single source of truth for managing relationships and client requests.
- ✚ Provide real-time feedback to clients on the status of their requests.
- ✚ A 360-degree view of all client requests, holdings and contact history to anyone interacting with a client.
- ✚ Streamlined processing - from cash deposits and payments, execution of trades, reconciliation of client holdings with the clearing-house, etc, data exchange and processing is seamlessly handled by eBroker.
- ✚ Multi-Asset Class, Multi Exchange & Multi-Currency capable with sophisticated commission management.
- ✚ Streamline sales and marketing using SFA tools for leads, opportunity and commissions management.

EBroker effectively links the front and back offices on a single platform, so everyone in the firm has instant access to critical information for better decision making. Users can easily click from contact information to client portfolios to see holdings, financials, performance, and other account data.



### **Market Coverage & Execution**

EBroker seamlessly integrates all brokerage processes, including trading of equities, fixed income instruments and derivatives across a number of markets. EBroker interfaces with stock exchanges, registrars, custodians and fund managers, enabling automated capture of orders and execution status from market systems as well as real-time connectivity with information providers. With easy access to the critical data you need to manage and execute client instructions, EBroker lets you spend less time looking for data and more time putting it to productive use.

### **Integrated Trading & Corporate Actions**

With EBroker, your organization has access to flexible portfolio definition, valuation and performance measurement, processing of subscriptions to funds and public offers, certificate management and automated handling of corporate actions. Tolerance checking and validation of trades, helps ensure that you eliminate or minimize execution errors and when errors do occur, reconciliation orders can be used to quickly resolve the trading errors.


### **Robust Security and Audit Features**

Today more than ever, security is a critical concern in the brokerage industry—especially now that regulators are increasing the frequency and scale of audits and investors are demanding more insight into firms' risk management practices. EBroker includes a robust security and audit capabilities that lets you demonstrate due diligence and control operational risk better than ever.

### **Commissions & Reporting**

Users can create customized reports specific to their needs or choose standard reports from our library of over 200 reports. Our commission calculation engine supports very sophisticated commission schemes to help manage commissions paid to Independent Financial Advisers (IFA), your own relationship managers and other sales agents. EBroker offers you unprecedented flexibility and control over the content and format of your firm's reports.

"eBroker's trading and portfolio management tools has provided us with the robust functionality required to take the quality of our trading, portfolio monitoring and client interaction to a new level of excellence" – Wale Odutola - CEO  
ARM Securities Ltd



EBroker browser-based, intuitive user interface enables back & front office staff to learn navigation quickly and easily. We provide user training, sophisticated tools, and deep experience in data migration to ensure smooth and quick adoption.

**EBroker enhances performance, saves time, and makes users more efficient.**

- Capture email and client correspondence easily
- Enhance service with flexible, customized reporting
- Streamline the reporting process with user controlled report generation

#### For the Firm:

- Streamline your data and processes – one platform consolidates your sales, documents, client and portfolio data
- Improve client service with easily accessible investment and relationship data
- Add new clients and accounts without increasing overhead
- Interface with your partners for real-time execution of orders, processing of certificates and other client requests
- Manage your branches and finances with very sophisticated and integrated multicurrency accounting
- Choose from multiple deployment options to match your requirements

#### For Operations and IT:

- Access and manage a single Oracle or MySQL database on a Windows, Mac-OX or Linux platform for both client and portfolio data
- Use standard technology, including SQL, Web – Services and Java to leverage in-house expertise and control IT expenses
- Tailor the application to match your unique workflow with a flexible workflow engine and multiple deployment options
- Scale the system easily to keep pace with your growth

#### For Relationship Managers and Traders:

- Transfer your open orders from the jobbing book into the exchange order book with a single click
- Validation and tolerance checking during order capture helps ensure error free trading
- Get detailed and clear insight into performance with analytics
- Track your commissions using a very sophisticated commission management engine & real time commission reports.

Zanibal LLC  
2520 S. Bascom Avenue  
Campbell, CA 95008

21 Biaduo Street  
Ikoyi, Lagos

[sales@zanibal.com](mailto:sales@zanibal.com)  
[www.zanibal.com](http://www.zanibal.com)

#### For Client Service and Marketing:

- Get answers faster—single view to access client, cash, margin, trading and portfolio data
- Serve clients better—review your last contacts and follow up activity with clients at the touch of a button
- Work more efficiently—activities and tasks visible in both EBroker, Outlook & Mobile Devices